PTO/SB/08A (08-00)

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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheetof

Complete If Known				
Application Number	10/783,679			
Filing Date	February 20, 2004			
First Named Inventor	Michael P. Wallace, et al			
Group Art Unit	Not-Yet-Assigned			
Examiner Name	Not-Yet-Assigned			
Attorney Docket Number	24730-7037612001 (03-573)			

			U.S. PATENT DOCUM	MENTS	
		U.S. Patent Occument	Name of Patentee or Applicant	Date of Publication of	Pages, Columns, Lines, Where Relevant
Examiner Initials:	Cite No. ¹	Number Kind Code ² (if known)	of Cited Document	Cited Document MM-DD-YYYY	Passages or Relevant Figures Appear
RH	1.	1. 4,739,768 Engelson	04-26-1988		
ī	2.	4,813,934	Engelson et al	05-21-1989	
\neg	3.	4,884,579	Engelson	12-05-1989	
	4.	5,509,411	Littmann et al	. 04-23-1996	
	5.	5,531,779	Dahl et al	07-02-1996	•
	6.	5,707,354	Salmon et al	01-13-1998	
	7.	5,814,062	Sepetka et al	09-29-1998	**
1	8.	5,908,385	Chechelski et al	06-01-1999	
1	9.	6,074,407	Levine et al	06-13-2000	
1	10.	6,361,528	Wilson et al	03-26-2002	
abla II	11.	6,445,953 .	Bulkes et al	09-03-2002	
—	12.	6,589,230	Gia et al	07-08-2003	

	OTHER DOCUMENTS NON PATENT LITERATURE					
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²			
RH	13.	KUNIEDA, et al *Use of Cavernous Sinus EEG in the Detection of Seizure Onset and Spread in Mesial Temporal Lobe Epilepsy*, Epilepsia, 41(11): 1411-1419, 2000	ļ 			
RH	14.	CANAVERO, et al "Extradural Motor Cortex Stimulation for Advanced Parkinson Disease" J. Neurosurg 97: 1208-1211, 2002				
RH	15.	CAGATAY, et al "Complications of Invasive Subdural Grid Monitoring in Children with Epilepsy" J. Neurosurg 98: 1017-1026, 2003				

Examiner Signature	/Rex Holmes/	Date Considered	10/17/2006

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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PA:52130867.1/2024730-7037612001

¹ Unique citation designation number. 2 See attached Kinds of U.S. Patent Documents. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark hee if English language Translation is attached.



ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18
Stylesheet Version v18.0



Title of Invention METHOD OF STIMULATING SENDING BRAIN WITH COMBINATION OF INTRAVASCULARLY AND NON VASCULARLY DELIVERED LEADS

Application Number:

10/783679

Confirmation Number:

6996

First Named Applicant:

Michael Wallace

Attorney Docket Number: 03 573

Search string:

(5716377 or 5792187 or 6463328 or 6480743

or 6539263 or 6662055).pn.

US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
RH	1	5716377	1998-02-10	Rise et al] .	٠.	
RH	2	5792187	1998-08-11	Adams]		
RH	3	6463328	2002-10-08	John	}.		
RH	4	6480743	2002-11-12	Kirkpatrick et al		•	
RE	5	6539263	2003-03-25	Schiff et al			
RH	6	6662055	2003-12-09	Prutchi			

Signature

Examiner Name	Date
/Rex Holmes/	10/17/2006

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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Complete If Known						
Application Number	10/783,679					
Filing Date	February 20,2004					
First Named Inventor	Michael P. Wallace, et al					
Group Art Unit	3762					
Examiner Name	Not-Yet-Assigned					
Attorney Docket Number	30-7037612001 (03-573 US 01)					

Examiner Cite		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	
RH	1,	IP.com: Electrotrode Design to Stimulate Blood Vessels, Nerves, or Other Tubular Organs, file://C:\unzipped\IPCOM000010247D1\0_properties.xml, Published 11/13/02	
	2.	IP.com: Epidural Needle for Spinal Cord Stimulation Electrode, file://C:unzipped\PCOM000011384D1\0_properties.xml, Published 02/14/03	
	3.	IP.com: Medical Lead System and Method for Insertion into the Spinal Cord, file://C:\unzipped\IPCOM000011389D1\0_properties.xml, Published 02/17/03	
	4.	IP.com: Transcutaneous Screening Test for Evaluation of Potential Efficacy of Chronic Trigeminal Neurostimulation as a Therapy for Epilepsy, file://C:\unzipped\PCOM000011987D1\0_properties.xml, Published 03/28/03	
	5.	IP.com: System and Method for Lead Fixation, file://C:\unzipped\IPCOM000019571D1\0_properties.xml, Published 09/19/03	
	6.	IP.com: Dual Lumen Inflatable Lead, file://C:\unzipped\IPCOM000019703D1\0_properties.xml, Published 09/25/03	
	7.	IP.com: Skull-Mounted Electrical Stimulation System, file://C:\unzipped\IPCOM000019827D1\0_properties.xml, Published 10/01/03	
	8.	IP.com: Spinal Cord Stimulation as a Therapy for Epilepsy, file://C:\unzipped\IPCOM000019881D1\0_properties.xml, Published 10/06/03	
	9.	IP.com: Skull-Mounted Electrical Stimulation System and Method for Treating Patients, file://C:\unzipped\IPCOM000021554D1\0_properties.xml, Published 01/22/04	
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Examiner Signature	/Rex Holmes/	Date Considered	10/17/2006	<u>·</u>

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Alexandria, VA 22313-1450.

¹ Unique citation designation number, 2 See attached Kinds of U.S. Patent Documents, 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 6 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark hee if English language Translation is attached.

PTO/SB/08B(07-05)

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Substitute for form 1449B/PTO Complete if Known Application Number 10/783,679 SUPPLEMENTAL February 20, 2004 Filing Date INFORMATION DISCLOSURE First Named Inventor Michael P. Wallace STATEMENT BY APPLICANT Art Unit 3762 (Use as many sheets as necessary) Examiner Name Jeffrey R. Jastrzab 03-0573 (US01) of Attorney Docket Number Sheet

	NON PATENT LITERATURE DOCUMENTS					
Examiner Initials *						
RH	1.	IPCOM000012135D; IP.com: Methods of Place of Neurostimulation Lead, Infusion Catheter, and/or Sensor Via the Vasculature to the Brain				
-						
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Examiner Signature	/Rex Holmes/	Date Considered	10/17/2006

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Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Substit	ute for form 1449A/P	το	., .	Complete if Known		
•				Application Number	10/783,679	
INF	ORMATION	V DIS	CLOSURE	Filing Date	February 20, 2004	
STA	STATEMENT BY APPLICANT			First Named Inventor	Michael P. Wallace et al.	
				Group Art Unit	3762	
	(use as many s	heets as	necessary)	Examiner Name	Jastrzab, Jeffrey R.	
Sheet	1	of	5	Attorney Docket Number	03-0573 (US01)	

	1	U.S. Patent Document	Name of Patentee or Applicant	Date of Publication of	Pages, Columns, Lines, Where Relevar Passages or Relevant Figures Appear
Examiner Initials *	Cite No.1	Number Kind Code ² (if known)	of Cited Document	Cited Document MM-DD-YYYY	
71	1.	4,141,365	Fischell et al.	02/27/1979	
, ,	2.	4,285,347	Hess	08/25/1981	
	3.	4,519,403	Dickhudt	05/28/1985	
	4.	4,608,985	Crish et al.	09/02/1988	
	5.	4,658,835	Pohndorf	04/21/1987	
1	6.	4,889,255	Putz	09/26/1989	
1	7.	5,005,587	Scott	04/09/1991	
1	8.	5,010,894	Edhag	04/30/1991	
	9.	5,170,802	Mehra	12/15/1992	
	10.	6,224,491	Mehra	07/06/1993	
	11.	5,234,437	Sepetka	08/10/1993	
	12.	5,239,999	lmran	08/31/1993	
	13.	5,250,071	Palermo	10/05/1993	
	14,	5,261,916	Engelson	11/16/1993	
	15.	5,263,488	Van Veen et al.	11/23/1993	
	16.	5,304,195	Twyford et al.	04/19/1994	
	17.	5,312,415	Palermo	05/17/1994	
	18.	5,350,397	Palemo et al.	09/27/1994	
	19.	5,365,926	Desai	11/22/1994	
	20.	5,391,200	KenKnight et al.	02/21/1995	
	21.	5,397,341	Hirschberg et al.	03/14/1995	
	22.	5,411,551	Winston et al.	05/02/1995	
1	23.	5,417,719	Hull et al.	05/23/1995	
	24.	5,423,864	Ljungstroem	06/13/1995	
1	25.	5,501,703	Holsheimer et al.	03/26/1996	
1	26.	5,534,007	St. Germain et al.	07/09/1996	
	27.	5,543,864	Hirschman et al.	08/08/1996	
1	28.	5,603,731	Whitney	02/18/1997	
	29.	5,647,870	Kordis et al.	07/15/1997	
	30.	5,683,422	Rise	11/04/1997	
	31.	5,702,438	Avitail	12/30/1997	
V	32.	5,713,922	King	02/03/1998	
V	33.	5,752,979	Benabid	05/19/1998	

Examiner Date Considered Signature

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents, ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.9). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark hee if English language Translation is attached.

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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid CMB control number.

Complete if Known Substitute for form 1449A/PTO 10/783,679 Application Number INFORMATION DISCLOSURE Filing Date February 20, 2004 STATEMENT BY APPLICANT Michael P. Wallace et al First Named Inventor Group Art Unit 3762

(use as many sheets as necessary)

Jastrzab, Jeffrey R. Examiner Name Attorney Docket Number 03-0573 (US01) Sheet of |

Examiner Cite		U.S. Patent Document	Name of Patentes or Applicant	Date of Publication of	Pages, Columns, Lines, Where Relevan
Examiner Initials *	No. ¹	Number Kind Code ² (If known)	of Cited Document .	Cited Document MM-DD-YYYY	Passages or Relevant Figures Appear
34	35.	5,800,474	Benabid et al.	09/01/1998	
1	36.	5,833,709	Rise et al.	11/10/1998	
	37.	5,846,238	Jackson et al.	12/08/1998	
	38.	5,860,974	Abele	01/19/1999	
	39.	5,871,483	Jackson et al.	02/16/1999	
	40.	5,891,136	McGes et al.	04/08/1999	
	41.	5,902,236	lversen	05/11/1999	
	42.	5,925,070	King et al.	07/20/1999	
	43.	5,938,689	Fischell et al.	08/17/1999	
	44.	5,954,761	Machek et al.	09/21/1999	
	45.	5,967,986	Cimochowski et al.	10/19/1999	
	46.	6,015,387	Schwartz et al.	01/18/2000	
	47.	6,016,449	Fischell et al.	01/18/2000	
	48.	6,018,682	Rise	01/25/2000	
	49.	6,027,456	Feler et al.	02/22/2000	
-	50.	6,053,873	Goveri et al.	04/25/2000	
$\neg \vdash$	51.	6,066,163	John	05/23/2000	
	52.	8,074,507	Sukenik	06/13/2000	
j	53.	6,091,980	Squire et al.	07/18/2000	
	54.	6,094,596	Morgan	07/25/2000	
	55.	6,119,044	Kuzme	09/12/2000	
	56.	6.122,548	Starkebaum et al.	09/19/2000	
	57.	6,128,538	Fischeil et al.	10/03/2000	
$\overline{}$	58.	6,136,021	Tockman et al.	10/24/2000	
	59.	6,141,576	Littmann et al.	10/31/2000	
	60.	6,161,029	Spreigl et al.	12/12/2000	
	61.	6,161,047	King et al.	12/12/2000	
	62.	6,170,488	Spillman, Jr. et al.	01/09/2001	
	63.	6,179,858	Squire et al.	01/30/2001	
t	64.	6,205,361	Kuzma et al.	03/20/2001	
	65.	6.216.045	Black et al.	04/10/2001	
}	/ 66.	6,231,516	Keilman et al.	05/15/2001	
	67.	8,249,707	Kohnen et al.	06/19/2001	
— <i>∤</i> ∤	68.	6,263,248	Farley et al.	07/17/2001	
/	69.	6,266,568	Mann et al.	07/24/2001	

Date Examiner Considered Signature

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Substitute for form 1449A/PTO Complete If Known 10/783,679 **Application Number** INFORMATION DISCLOSURE Filing Date February 20, 2004 STATEMENT BY APPLICANT Michael P. Wallace et al. First Named Inventor 3762 Group Art Unit (use as many sheets as necessary) Jastrzab, Jeffrey R. **Examiner Name** 03-0573 (US01) Sheet 5 **Attorney Docket Number**

		U.S. Patent Document			Control Lines Man Column
Examiner Initials	Cite No.	Number Kind Code ² (If known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevan Passages or Relevant Figures Appear
711	70.	6,319,251	Tu et al.	11/20/2001	
1	71.	6,330,477	Casavant	12/11/2001	
	72.	6,353,762	Baudino et al.	03/05/2002	
	· 73.	6,360,122	Fischell et al.	03/19/2002	
$\neg \vdash$	74.	6,370,427	Alt et al.	04/09/2002	
	75.	6,391,052	Buirge et al.	05/21/2002	
	76.	6,393,325	Mann et al.	05/21/2002	
\neg	77.	6,397,109	Cammilli et al.	05/28/2002	
	78.	6,402,746	Whayne et al.	06/11/2002	
	79.	8,408,214	Williams et al.	06/14/2002	
	80.	6,415,187	Kuzma et al.	07/02/2002	
\neg	81.	6,418,344	Rezal et al.	07/09/2002	
 	82.	6,438,427	Rexhausen et al.	08/20/2002	
	83.	6,442,413	Silver	08/27/2002	
1	84.	6,442,435	King et al.	08/27/2002	
	85.	6,466,822	Pless	10/15/2002	
+	86.	6,484,059	Glelen	11/19/2002	
	87.	6,516,227	Meadows et al.	02/04/2003	
	88.	6,519,488	KenKnight et al.	02/11/2003	
 	89.	6,522,932	Kuzma et al.	02/18/2003	
1	90.	6,529,774	Greeпе	03/04/2003	
1	91.	6,547,788	Maguire et al.	04/15/2003	
1	92.	6,547,870	Griessmann et al.	04/15/2003	
	93.	6,562,063	Euteneuer et al.	05/13/2003	
1	94.	6,584,358	Carter et al.	06/24/2003	
 	95.	6,587,733	Cross, Jr. et al.	07/01/2003	
†	96.	6,591,138	Fischell et al.	07/08/2003	
 	97.	6,597,953	Boling	07/22/2003	
1	98.	6,600,954	Cohen et al.	07/29/2003	
1	99.	6,608,521	Paspa et al.	08/12/2003	
1	100.	6,647,296	Fischell et al.	11/11/2003	
1	101.	6,658,302	Kuzma et al.	12/02/2003	
.1/	102.	6,665,562	Gluckman et al.	12/16/2003	
11	103.	6,690,974	Archer et al.	02/10/2004	
	104.	6,697,676	Dahl et al.	02/24/2004	

Date Examiner Signature

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Substitut	e for form 1449A/PT0	0		Complete if Known		
				Application Number	10/783,679	
INFC	RMATION	DIS	CLOSURE	Filing Date	February 20, 2004	
STATEMENT BY APPLICANT			PPLICANT	First Named Inventor	Michael P. Wallace et al.	
		•		Group Art Unit	3762	
(use as many sheets as necessary)			necessary)	Examiner Name	Jastrzab, Jeffrey R.	
Sheet	14	of	5	Attorney Docket Number	03-0573 (US01)	

U.S. PATENT DOCUMENTS						
Initiala 1		U.S. Patent Document	Name of Patentee or Applicant	Date of Publication of	Pages, Columns, Lines, Where Relevan	
	Cite No. ¹	Number Kind Code ² (if known)	of Cited Document	Cited Document MM-DD-YYYY	Passages or Relevant Figures Appear	
クナ	105.	6,842,648	Partridge et al.	01/11/2005		
/	106.	6,895,283	Erickson et al.	05/17/2005		
4	107.	2001/0025192	Gerber et al.	09/27/2001		
	108.	2001/0041821	Wilk	11/15/2001		
\neg	109.	2001/0053885	Gielen et al.	12/20/2001		
	110.	2002/0111661	Cross, Jr. et al.	08/15/2002		
1	111.	2002/0151948	King et al.	10/17/2002		
1	112.	2002/0151949	Dahl et al.	10/17/2002		
	113.	2002/0188207	Richter	12/12/2002		
1	114.	2003/0014016	Purdy	01/16/2003		
1	115.	2003/0040785	Maschino et al.	02/27/2003		
	118.	2003/0199962	Struble et al.	10/23/2003		
	117.	2003/0204135	Bystritsky	10/30/2003		
,	118.	2003/0204228	Cross, Jr. et al.	10/30/2003		
1/	119.	2004/0015193	Lamson et al.	01/22/2004		
				<u>. </u>		

Examiner Cite No.	I	Foreign Patent Document		Name of Patentee	Date of Publication of	Pages, Columns, Lines, Where Relevant		
	No.1	Office ^a	Number ⁴	Kind Code ⁵ (<i>if known</i>)	or Applicant of Cited Document	Cited Document MM-DD-YYYY	Passages or Relevant Figures Appear	To
1	120.	WO	01/85094		Breinsgate Ltd.	11/15/2001		
<u> </u>	121.	wo	03/077986		Forschungszentru m. Julich Gmbh	09/25/2003		
	122.	EP	0 861 676		Medtronic Cardiorhythm	09/02/1998		
	123.	EP	0 865 800 A2		Meditronic, Inc.	09/23/1998		
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$\sqrt{}$	125.	EP	0 864 800 B1		Medtronic, Inc.	09/29/2004		

OTHER DOCUMENTS — NON PATENT LITERATURE					
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Substitut	te for form 1449A/PT0)	Complete if Known		
			Application Number	10/783,679	
INFO	DRMATION	DISCLOSURE	Filing Date	February 20, 2004	
STA	TEMENT B	Y APPLICANT	First Named Inventor	Michael P. Wallace et al.	
			Group Art Unit	3762	
	(use as many she	ets as necessary)	Examiner Name	Jastrzab, Jeffrey R.	
Sheet	1.5	of 5	Attorney Docket Number	03-0573 (US01)	

Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
27	125.	PCT International Search Report for PCT/US2005/008569, Applicant: Boston Scientific Scimed, Inc., Forms PCT/ISA 210 and 220, dated 6/13/2005 (7 pages).	
24	127.	PCT Written Opinion of the International Search Authority for PCT/US2005/006569, Applicant: Boston Scientific Scimed, Inc., Form PCT/ISA/237, dated 6/13/2005 (5 pages).	
24	128.	PCT International Search Report for PCT/US2005/010121, Applicant: Boston Scientific Scimed, Inc., Forms PCT/ISA/210 and 220, dated 7/4/2005 (7 pages).	
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2	130.	Web Article: IP.com: "Methods and Placement of Neurostimulation Lead, Infusion Catheter, and/or Sensor Via the Vasculature to the Brain." IPCOM000012135D, Published 4/10/03 (11 pages).	
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